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Date January 12, 2006 Client-Matter 74622-072

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Fax Transmittal

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To: Examiner BASHORE, ALAIN L Group Art Unit 3624

Fax No.: 571.273.8300

Company: United States Patent and Trademark Office

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Message

Re: Applicant: Mark L. Lipschutz  
Filing Date: December 20, 2001  
Serial No. 10/022,510  
For: INTEREST DETERMINATION SYSTEM AND METHOD  
Our Reference No. 74622-072

Attached please find the following:

- 1) Power of Attorney and Correspondence Address Indication Form
- 2) Statement Under 37 CFR 3.73(b)

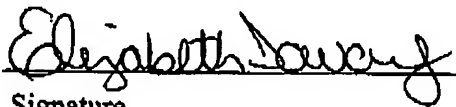
Please contact John C. Stellabotte at (212) 969-3413 if you have any questions.

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PTO/SB/81 (09-04)

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<b>POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM</b>	Application Number	10/022,310
	Filing Date	December 20, 2001
	First Named Inventor	Mark L. Lipschutz, et al.
	Title	INTEREST DETERMINATION SYSTEM AND METHOD
	Art Unit	3624
	Examiner Name	ALAIN L. BASHORE
	Attorney Docket Number	74822-072

I hereby appoint:

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☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/06)

**SIGNATURE of Applicant or Assignee of Record**

Signature		Date	1/11/06
Name	Gregg I. Goldman	Telephone	203.719.3099
Title and Company	Director and Senior Counsel, Technology and Intellectual Property, UBS AG, Stamford Branch		

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ Total of \_\_\_\_\_ forms are submitted.

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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Mark I. Lipschutz, et al.

Application No./Patent No.: 10/022,510 Filed/Issue Date: December 20, 2001

Entitled: **INTEREST DETERMINATION SYSTEM AND METHOD**

UBS Palnewebber, Inc. a Corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

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2. ☐ an assignee of less than the entire right, title and interest.  
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B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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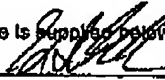
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

(NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

  
Signature  
Gregg I. Goldman  
Printed or Typed Name  
Director and Senior Counsel, UBS AG, Stamford Branch  
Title

1/11/06  
Date  
203 718 3089  
Telephone Number

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